



04-26-01

*# See for*

PTO/SB/21 (modified)

Approved for use through xx/xx/xx, OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

|   |  |                                       |  |
|---|--|---------------------------------------|--|
| 0001/PTO<br>Rev. 10/95                                | U.S. Department of Commerce<br>Patent and Trademark Office |                                       | Application Number<br><b>09/672,142</b>      |
|   |  |                                       | Filing Date<br><b>September 27, 2000</b>     |
|   |  |                                       | First Named Inventor<br><b>Craig A. Nies</b> |
|   |  |                                       | Group Art Unit Number<br><b>2162</b>         |
|   |  |                                       | Examiner Name<br><b>Unknown</b>              |
| Total Number of Pages in This Submission<br><b>16</b> |  | Attorney Docket Number<br><b>5076</b> |  |

| ENCLOSURES <i>(check all that apply)</i>  |   |
|---|---|
| <input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate)<br><input checked="" type="checkbox"/> Check Enclosed   | <input type="checkbox"/> Issue Fee Transmittal  |
| <input checked="" type="checkbox"/> Return Receipt Postcard   | <input type="checkbox"/> Letter to Chief Draftsperson   |
| <input checked="" type="checkbox"/> Response to Notice of Incomplete Reply  | <input type="checkbox"/> Formal Drawing(s):<br><input type="checkbox"/> [ ] Sheet(s) of Figure(s) [ ] |
| <input checked="" type="checkbox"/> Assignment & Recordation Cover Sheet  | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences                   |
| <input checked="" type="checkbox"/> Declaration   | <input type="checkbox"/> Appeal Communication to Group<br><i>(Appeal Notice, Brief, Reply Brief)</i>  |
| <input type="checkbox"/> Small Entity Statement   | <input type="checkbox"/> Certified Copy of Priority Document(s)                                       |
| <input type="checkbox"/> Information Disclosure Statement & PTO-1449<br><input type="checkbox"/> Copies of IDS Cited References | <input type="checkbox"/> After Allowance Communication to Group                                       |
| <input type="checkbox"/> Request for Corrected Filing Receipt   | <input type="checkbox"/> Copy of Formalities Letter   |
| <input type="checkbox"/> Request for Correction of Recorded Assignment  | <input type="checkbox"/>  |
| <input checked="" type="checkbox"/> Copy of Notice of Incomplete Reply<br><input type="checkbox"/> After Final                  | <input type="checkbox"/>  |
| <input type="checkbox"/> Status Request   | <input type="checkbox"/>  |
| <input type="checkbox"/> Revocation and Power of Attorney   | <input type="checkbox"/>  |

**REMARKS:**

| SIGNATURE OF ATTORNEY OR AGENT |  |
|--------------------------------|--|
| Signature:                     | <i>Robert R. Sachs</i>                   |
| Attorney/Reg. No.:             | Robert R. Sachs, Registration No. 42,120 |
| Dated:                         | <i>4/23/01</i>                           |

| CERTIFICATE OF MAILING   |                        |                       |
|--|------------------------|-----------------------|
| I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box MISSING PARTS, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10. |                        |                       |
| Signature:   | <i>Robert R. Sachs</i> |                       |
| Typed or Printed Name:   | Robert R. Sachs        | Dated: April 23, 2001 |
| Express Mail Mailing Number (optional):  | EL668937176US          |                       |



PTO/SB/17 (10-00)(modified)

Approved for use through 09/29/01, OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0002/PTO (Modified)  
Rev. 10/2000 ~~PRINT & T~~

U.S. Department of Commerce  
Patent and Trademark Office

## **FEE TRANSMITTAL**

**TOTAL AMOUNT OF PAYMENT**

**Subtotal (1) + Subtotal (2) + Subtotal (3) =** **(\\$) 930**

|   |  |  |  |
|---|--|--|--|
| 0002/PTO (modified)<br>Rev. 10/2000               |  | U.S. Department of Commerce<br>Patent and Trademark Office |  |
| <b>FEE TRANSMITTAL</b>                            |  |  |  |
| <b>TOTAL AMOUNT OF PAYMENT</b>                    |  |  |  |
| Subtotal (1) + Subtotal (2) + Subtotal (3) =      |  | (\$1,930)  |  |
| <input type="checkbox"/> <b>Complete if Known</b> |  |  |  |
| Application Number                                |  | 09/672,142   |  |
| Filing Date                                       |  | September 27, 2000   |  |
| First Named Inventor                              |  | Craig A. Nies  |  |
| Group Art Unit                                    |  | 2162   |  |
| Examiner Name                                     |  | Unknown  |  |
| Attorney Docket Number                            |  |  |  |
| 5076  |  |  |  |

**METHOD OF PAYMENT**

## **FEE CALCULATION (continued)**

**1. The Commissioner is hereby authorized to:**

- Charge the indicated fees to the below mentioned deposit account.
  - Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account. †
  - Applicant claims small entity status  
See 37 CFR 1.27

**Deposit Account Number:** 19-2555

**Deposit Account Name: FENWICK & WEST LLP**

**A Duplicate Copy of this authorization is attached**

**2.  Payment Enclosed:**

- Check     Credit Card     Other

**FEE CALCULATION** (fees effective 10/01/2000)

**1. FILING FEE**

| <u>Large Entity</u><br>Fee<br>Code/Fee | <u>Small Entity</u><br>Fee Code/Fee | Fee<br>Description    | Fee<br>Due           |
|--|-------------------------------------|-----------------------|----------------------|
| <b>101/\$710</b>                       | <b>201/\$355</b>                    | Utility Filing        | <input type="text"/> |
| <b>106/\$320</b>                       | <b>206/\$160</b>                    | Design Filing         | <input type="text"/> |
| <b>108/\$710</b>                       | <b>208/\$355</b>                    | Reissue               | <input type="text"/> |
| <b>114/\$150</b>                       | <b>214/\$75</b>                     | Provisional<br>Filing | <input type="text"/> |
| <b>SUBTOTAL (1)</b>                    |                                     | <b>(\$ 0</b>          | <input type="text"/> |

## **2. CLAIMS**

| <b>Large Entity<br/>Fee Code/Fee</b> | <b>Small Entity<br/>Fee Code/Fee</b> | <b>Fee Description</b>  |
|--------------------------------------|--------------------------------------|---|
| <b>103/\$18</b>                      | <b>203/\$9</b>                       | Claims in excess of 20  |
| <b>102/\$80</b>                      | <b>202/\$40</b>                      | Independent claims<br>in excess of 3                          |
| <b>104/\$270</b>                     | <b>204/\$135</b>                     | Multiple dependent claim                                      |
| <b>109/\$80</b>                      | <b>209/\$40</b>                      | Reissue independent<br>claims over original patent            |
| <b>110/\$18</b>                      | <b>210/\$9</b>                       | Reissue claims in excess<br>of 20 and over original<br>patent |

### **3. ADDITIONAL FEES**

| <u>Large Entity</u>           | <u>Small Entity</u>                    | <u>Fee Description</u>   | <u>Fee Due</u> |
|-------------------------------|--|--|----------------|
| Fee Code/Fee                  | Fee Code/Fee                           |  |                |
| 105/\$130                     | 205/\$65                               | Surcharge - late filing fee or oath  |                |
| 127/\$50                      | 227/\$25                               | Surcharge-late provisional filing fee or cover sheet                       |                |
| 147/\$2,520                   | 147/\$2,520                            | For filing a request for reexamination                                     |                |
| 115/\$110                     | 215/\$55                               | Extension for response within first month <sup>†</sup>                     |                |
| 116/\$390                     | 216/\$195                              | Extension for response within second month <sup>†</sup>                    |                |
| 117/\$890                     | 217/\$445                              | Extension for response within third month <sup>†</sup>                     | 890            |
| 118/\$1,390                   | 218/\$695                              | Extension for response within fourth month <sup>†</sup>                    |                |
| 128/\$1,890                   | 228/\$945                              | Extension for response within fifth month <sup>†</sup>                     |                |
| 119/\$310                     | 219/\$155                              | Notice of Appeal   |                |
| 141/\$1,240                   | 241/\$620                              | Petition to revive unintentionally abandoned application                   |                |
| 142/\$1,240                   | 242/\$620                              | Utility Issue Fee (Or Reissue)   |                |
| 143/\$440                     | 243/\$220                              | Design Issue Fee   |                |
| 122/\$130                     | 122/\$130                              | Petitions to the Commissioner  |                |
| 126/\$180                     | 126/\$180                              | Submission of Information Disclosure Statement                             |                |
| 179/\$710                     | 279/\$355                              | Request for Continued Examination (RCE)                                    |                |
| 581/\$40                      | 581/\$40                               | Recording each patent assignment per property (times number of properties) | 40             |
| 146/\$710                     | 246/\$355                              | Filing a submission after final rejection (37 CFR 1.129(a))                |                |
| 149/\$710                     | 249/\$355                              | For each additional invention to be examined (37 CFR 1.129(b))             |                |
| Other fee ( <i>specify</i> ): | 37 CFR 1.20(d) Terminal disclaimer fee |  |                |
| Other fee ( <i>specify</i> ): |  |  |                |

| (Col. 1) | (Col. 2)                              | (Col. 3) |        |
|----------|---------------------------------------|----------|--------|
| For      | Highest No.<br>Previously<br>Paid For | Extra**  | Fee    |
| TOTAL    | 0                                     | 0        | 18     |
| INDEP    | 0                                     | 0        | 80     |
|          | minus*<br>3 or                        | x<br>x   | =<br>= |
|          |                                       |          | 0      |

[ ] First presentation of multiple dependent claim

- Subtract the greater number of Col. 2

\*\* If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3

**SUBTOTAL (2) (\$)**

|                       |   |                          |  |
|-----------------------|---|--------------------------|--|
| SUBMITTED BY          |   | Complete (if applicable) |  |
| Typed or Printed Name | Robert R. Sachs   | Reg. Number              | 42,120                                       |
| Signature             |  | Date                     | 04/27/2001 EABUBAKI 00000052 09572144 /23/01 |

*\* Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby*  
Rev. 09/30/2000



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/672,142         | 09/27/2000          | Craig A. Nies         | 5076                   |

## CONFIRMATION NO. 6191

## FORMALITIES LETTER



\*OC000000005945129\*

Robert R Sachs  
Fenwick & West LLP  
Two Palo Alto Square  
Palo Alto, CA 94306

Date Mailed: 04/06/2001

## NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

*Filing Date Granted*

The U.S. Patent and Trademark Office has received your reply on 01/11/2001 to the Notice to File Missing Parts (Notice) mailed 11/22/2000 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

- The oath or declaration is unsigned.

*A copy of this notice MUST be returned with the reply.*

*Request woldeyes*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202  
PART 2 - COPY TO BE RETURNED WITH RESPONSE



PATENT

IN THE UNITED STATES

PATENT AND TRADEMARK OFFICE

APPLICANTS: Craig A. Nies, et al.

SERIAL NO.: 09/672,142

FILING DATE: September 27, 2000

TITLE: Webstation: Configuration Web-Based Workstation for Reason Driven Data Analysis

EXAMINER: Unknown

GROUP ART UNIT: 2162

ATTY. DKT. NO.: 5076

CERTIFICATE OF MAILING

I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.

Signature:

|                        |  |        |                |
|------------------------|--|--------|----------------|
| Typed or Printed Name: | Robert R. Sachs, Registration No. 42,120 | Dated: | April 23, 2001 |
|------------------------|--|--------|----------------|

Express Mail Mailing Number (optional): EL668937176US

BOX MISSING PARTS  
COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

RESPONSE TO NOTICE OF INCOMPLETE REPLY

SIR:

Responsive to Form PTO-1533 dated November 22, 2000 received in the above-identified patent application, enclosed are:

- a copy of the Notice to Incomplete Reply;
- an original, signed Declaration;
- Assignment and PTO-1619A;

Other: payment in the amount of \$930, to cover the assignment recordation fee and a three-month extension of time.

Respectfully submitted,  
CRAIG A. NIES, ET AL.

Dated: 4/23/01

By: Robert R. Sachs  
Robert R. Sachs, Reg. No.: 42,120  
Fenwick & West LLP  
Two Palo Alto Square  
Palo Alto, CA 94306  
Tel.: (415) 875-2410  
Fax.: (415) 281-1350

13553/05076/SF/5038773.1